



Filing ID #10021132

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Dr. Karen A. McCormick
Status: Congressional Candidate
State/District: CO04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 04/29/2018
Period Covered: 01/01/2017– 04/30/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Brokerage Accounts ⇒ American Century Ultra - TWCUX [HE]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Baird Core Plus - BCOSX [MF]	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Charles Schwab Brokerage Accounts ⇒ Hodges Small Cap Retail - HDPSX [HE]	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Janus Henderson Venture - JAVTX [HE]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Metropolitan West Total - MWTRX [MF]	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Brokerage Accounts ⇒ Oakmark Intl FD - OAKIX [HE]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Parnassus Core Eqty FD - PRBLX [HE]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Powershares Fundame - PHB [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Schwab 1000 Index Fund - SNXFX [HE]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Schwab International - SCHF [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Schwab US Aggregate Bond - SCHZ [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Schwab US Large Cap ETF - SCHX [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Schwab US Small Cap ETF - SCHA [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Brokerage Accounts ⇒ Undiscovered Mgrs - UBVAX [HE]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Charles Schwab Contributory IRA ⇒ Acadian Emerging Markets - AEMGX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Contributory IRA ⇒ Baird Core Plus BD INV - BCOX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Brown Advisory Growth - BIAGX [IH] DESCRIPTION: Karen McCormick Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Brown Advisory Growth - BIAGX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Harbor International - HIINX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Harbor International - HIINX [IH] DESCRIPTION: Karen McCormick Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Hodges Small Cap Retail - HDPSX [IH] DESCRIPTION: Karen McCormick Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Hodges Small Cap Retail - HDPSX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Janus Henderson Venture [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Metropolitan West Total - MWTRX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Oakmark Intl FD Inv - OAKIX [IH] DESCRIPTION: Gregg Simpson Perry IRA		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Contributory IRA ⇒ Oakmark Intl FD INV - OAKIX [IH] DESCRIPTION: Karen McCormick Perry IRA		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Contributory IRA ⇒ Oppenheimer Rising Divs - QFV1Z [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Karen McCormick Perry IRA					
Charles Schwab Contributory IRA ⇒ Parnassus Core Eqty FD - PRBLX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Karen McCormick Perry IRA					
Charles Schwab Contributory IRA ⇒ Parnassus Core Eqty FD - PRBLX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Contributory IRA ⇒ PimcoTotal Return Fund - PTTAX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Contributory IRA ⇒ PimcoTotal Return Fund - PTTAX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Karen McCormick Perry IRA					
Charles Schwab Contributory IRA ⇒ Principal Eqty - PQIAX [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Contributory IRA ⇒ Principal EQTY - PQIAX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Karen McCormick Perry IRA					
Charles Schwab Contributory IRA ⇒ Undiscovered Mgrs - UBVAX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Rollover IRA ⇒ Columbia Mid Cap Growth - CLS1Z [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Rollover IRA ⇒ Janus Henderson Research - JAMRX [IH]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Rollover IRA ⇒ Parnassus Core EQTY - PRBLX [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Rollover IRA ⇒ Touchstone Focused FD - TFFYX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Charles Schwab Rollover IRA ⇒ White Oak Select Growth - WOGSX [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Gregg Simpson Perry IRA					
Geckkogroup LLC, 100% Interest [OL]	JT	\$250,001 - \$500,000	Partner Income	\$50,001 - \$100,000	\$50,001 - \$100,000
LOCATION: Longmont, CO, US					
DESCRIPTION: Ownership in Geckkogroup LLC dba Best Auto Longmont - auto repair shop run by husband Gregg Perry					
Millennium Trust ⇒ Geoptics Series A [PS]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Aerospace Satellite Company					
More Adventures LLC ⇒ Citywide Bank and Trust [BA]		\$15,001 - \$50,000	None		
More Adventures LLC ⇒ MoreAdventures Commercial Property [RP]		\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	\$100,001 - \$1,000,000
LOCATION: Boulder, CO, US					
Navy Federal Credit Union [BA]		\$1 - \$1,000	None		
Northwestern Mutual Life Insurance [WU]		\$100,001 - \$250,000	Dividends	None	\$1 - \$200
Northwestern Mutual Life Insurance [WU]	SP	\$100,001 - \$250,000	Dividends	None	None
Planet IQ -Earth Investments [PS]	JT	\$50,001 - \$100,000	None		
DESCRIPTION: Aerospace company - brother Chris McCormick					
Premier Members Federal Credit Union [BA]	JT	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vectra Bank Colorado [BA]	JT	\$15,001 - \$50,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Colorado State University	Communications Coach at Veterinary School	\$370.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citywide Bank and Trust	March 2012	Mortgage on commercial property - More Adventures LLC	\$1,000,001 - \$5,000,000
JT	Vectra Bank Colorado	April 2014	Heloc on primary residence for Geckkogroup LLC	\$100,001 - \$250,000
DC	Federal Student Loan Servicing	May 2017	Student loan debt for Erin and Camryn - daughters	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Project V.E.T.S. - non-profit
Managing member	Kelu Adventures LLC
Managing member	More Adventures LLC
Member	Geckkogroup LLC
Member	MacIntosh Group LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Well Animal Institute (Brighton, CO, US)	Veterinary dental services
The Animal Hospital (Longmont, CO, US)	Veterinary Medical services

SCHEDULE A ASSET CLASS DETAILS

- Charles Schwab Brokerage Accounts (100% Interest) (Owner: JT)
LOCATION: US
- Charles Schwab Contributory IRA
DESCRIPTION: Charles Schwab -6002
- Charles Schwab Contributory IRA
DESCRIPTION: Charles Schwab -9371
- Charles Schwab Rollover IRA
DESCRIPTION: Charles Schwab -9372
- Millennium Trust (Owner: JT)
DESCRIPTION: Holding company of IRA funds
- More Adventures LLC (100% Interest)
LOCATION: Boulder/Boulder, CO, US
DESCRIPTION: Real Estate holding company

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Karen A. McCormick , 04/29/2018